

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)

Sector \$  
Docket No.  
A-7167

In Re Application Of: **Christoph ULLMANN and Volker KRAUSE**

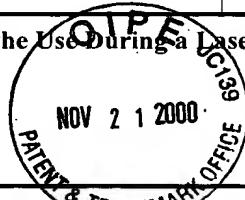
Serial No.  
09/641,570

Filing Date  
August 18, 2000

Examiner

Group Art Unit

Invention: **Optical Arrangement for the Use During a Laser Diode Arrangement as well as Laser Diode Arrangement with such an Optical Arrangement**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
November 8, 2000  
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- 1 verified small entity declaration(s)
  - is/are attached.
  - was/were filed on \_\_\_\_\_
- A separate request for refund.
- Other (list):

**Certified Copy of German 199 39 750.3 (Priority Document)**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.

A-7167

In Re Application Of: **Christoph ULLMANN and Volker KRAUSE**

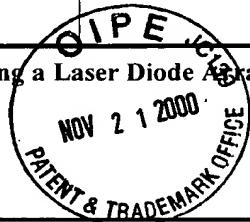
Serial No.  
09/641,570

Filing Date  
August 18, 2000

Examiner

Group Art Unit

Invention: **Optical Arrangement for the Use During a Laser Diode Arrangement as well as Laser Diode Arrangement with such an Optical Arrangement**



**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Attention: Manager, Application Branch**

Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$355.00
<input type="checkbox"/> Design application filing fee	
<input checked="" type="checkbox"/> Total number of independent claims = 1	
<input checked="" type="checkbox"/> Total number of claims = 41	\$189.00
<input type="checkbox"/> Multiple dependent claims	
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$65.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	
<input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification	\$130.00
<input type="checkbox"/> Fee for processing and retention of application	

**Total completion of application fees \$739.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_

*Date*

until: \_\_\_\_\_

*Date*

**Total time extension fees \_\_\_\_\_**

**Total fees due \$739.00**

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.  
A-7167

In Re Application Of: **Christoph ULLMANN and Volker KRAUSE**

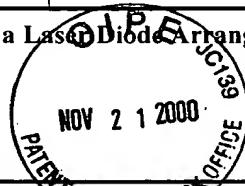
Serial No.  
**09/641,570**

Filing Date  
**August 18, 2000**

Examiner

Group Art Unit

Invention: **Optical Arrangement for the Use During a Laser Diode Arrangement as well as Laser Diode Arrangement with such an Optical Arrangement**

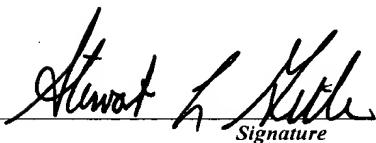


**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

The fee of **\$739.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **08-2455**  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **08-2455**  
A duplicate copy of this sheet is enclosed.



\_\_\_\_\_  
Signature

Dated: **11-21-00**

**Stewart L. Gitler - Reg. 31,256**  
Hoffman, Wasson & Gitler, P.C.  
2361 Jefferson Davis Highway  
Suite 522  
Arlington, VA 22202  
(703)415-0100

I certify that this document and fee is being deposited on **11-21-00** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

cc: **20741**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,570	08/18/2000	Christoph Ullman	A-7167

Stewart L Gitler  
 Hoffman Wasson & Gitler PC  
 2361 Jefferson Davis Highway Suite 522  
 Arlington, VA 22202



## FORMALITIES LETTER



\*OC000000005539876\*

Date Mailed: 11/08/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

11/22/2000 ADDITION 00000008 09641570

01 FC:201	355.00 0P
02 FC:205	65.00 0P
03 FC:203	189.00 0P
04 FC:139	130.00 0P

- The balance due by applicant is \$ 950.

*A copy of this notice MUST be returned with the reply.*

*FB*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

**COPY**